

FORM PTO 1449 (modified) INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Atty. Docket No. X-15515	Serial No 10/523147
	Applicants Chen, et al.	
	Filing Date	Group 1624

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Pages or Relevant Figures	
		Number-Kind Code ² (if known)			Class Appear	Subclass
BC	AA	US 4 115 568	09-19-1978	Chakrabarti, J.K., et al.	514	220
BC	AB	US 5 602 121	02-11-1997	Fu, Jian-Min	514	211.13
BC	AC	US 5 824 676	10-20-1998	Tehim Ashok, et al.	514	220

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
BC	BA	EP 0354781	02-14-1990	Lilly Industries Ltd.		
BC	BB	EP 1016664A	07-05-2000	Yoshitomi Pharmaceutical Industries		
BC	BC	WO 03082877	10-09-2003	Eli Lilly and Company		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published.	T ⁶
BC	CA	Chakrabarti J K ET AL: "Effects of Conformationally Restricted 4-Piperazinyl-10h-Thienobenzodiazepine Neuroleptics on Central Dopaminergic and Cholinergic Systems," Journal of Medicinal Chemistry, American Chemical Society. Washington, US, Vol. 25, No 10, 1 October 1982, pages 1133-1140.	
	CB		
Examiner Signature	Brenda Coleman		Date Considered May 17, 2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. ¹Include copy of this form with next communication to applicant.

² Applicant's unique citation designation number (optional). ³ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached. Burden Hours Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.